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PTO/SB/05 (03-01)

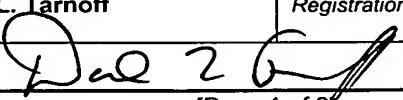
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

|   |   |  |  |
|---|---|--|--|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.   |   | <b>Attorney Docket No.</b> SN-US035123<br><b>First Inventor</b> Nobukatsu HARA<br><b>Title</b> BICYCLE HEAD CAP UNIT<br><b>Express Mail Label No.</b>  |  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i><br>2. <input type="checkbox"/> Applicant claims small entity status.<br><i>See 37 CFR 1.27.</i><br>3. <input checked="" type="checkbox"/> Specification [Total Pages 20]<br><i>(preferred arrangement set forth below)</i><br>- Descriptive title of the Invention<br>- Cross Reference to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to sequence listing, a table, or a computer program listing appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings ( <i>if filed</i> )<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure<br><br>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 9]<br>5. Oath or Declaration [Total Pages ]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br><i>(for a continuation/divisional with Box 18 completed)</i><br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br><i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i><br>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 |   | <b>ADDRESS TO:</b><br>Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231<br><br><b>ACCOMPANYING APPLICATIONS PARTS</b><br>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )<br>8. Nucleotide and/or Amino Acid Sequence Submission ( <i>if applicable, all necessary</i> )<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies<br><br>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )<br>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations<br>13. <input type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) ( <i>Should be specifically itemized</i> )<br>15. <input type="checkbox"/> Certified Copy of Priority Document(s) ( <i>if foreign priority is claimed</i> )<br>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.<br>17. <input type="checkbox"/> Other: _____ |  |
| 18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No: ____ / ____<br><i>Prior application information:</i> Examiner _____ Group / Art Unit: _____<br><b>For CONTINUATION or DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  |   |  |  |
| <b>17. CORRESPONDENCE ADDRESS</b>   |   |  |  |
| <input type="checkbox"/> Customer Number  |   | 22919<br><i>(Insert Customer No. here)</i>   |  |
| or <input type="checkbox"/> Correspondence address below  |   |  |  |
| <b>Name</b><br>David L. Tarnoff<br>Shinjyu Global IP Counselors, LLP  | <b>Address</b><br>1233 Twentieth Street, NW<br>Suite 700          |  |  |
|   | <b>City</b> Washington <b>State</b> DC <b>Zip Code</b> 20036-2680 |  | <b>Country</b> United States <b>Telephone</b> (202) 293-0444 <b>Fax</b> (202) 293-0445 |
| <b>Name (Print/Type)</b> David L. Tarnoff   | <b>Registration No. (Attorney/Agent)</b> 32,383                   |  | <b>Date</b> 9-17-03  |
| <b>Signature</b>   |   |  |  |

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# FEE TRANSMITTAL FORM

18334 U.S. PTO  
09/17/03

Attorney Docket No.: **SN-US035123**

ASSISTANT COMMISSIONER OF PATENTS  
BOX: PATENT APPLICATION  
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of

Inventor: **Nobukatsu HARA et al.**

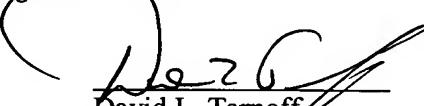
For: **BICYCLE HEAD CAP UNIT**

The filing fee for filing this patent application has been calculated as follows:

| (Col. 1)   | (Col. 2)  | SMALL ENTITY    | OTHER THAN A SMALL ENTITY |
|--|-----------|-----------------|---------------------------|
| FOR: NO. FILED   | NO. EXTRA | RATE            | RATE                      |
| <b>BASIC FEE</b>   |           | \$375.00        | \$750.00                  |
| <b>TOTAL</b> 23  | - 20 = 3  | x 09 = \$       | x 18 = \$54.00            |
| <b>INDEP</b> 3   | - 3 = 0   | x 42 = \$       | x 84 = \$                 |
| <input type="checkbox"/> MULTIPLE . DEPENDENT CLAIMS               |           | + 140 = \$      | + 280 = \$                |
| If the difference in Col. 1 is less than zero, enter "0" in Col. 2 |           | <b>TOTAL</b> \$ | <b>TOTAL</b> \$804.00     |

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- Please charge our Deposit Account No. **50-1836** in the amount of \$ \_\_\_\_\_ to cover  the above calculated filing fee and  the \$40.00 Assignment recording fee.
- Other:
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-1836**. A duplicate copy of this sheet is attached.
- Any additional excess claim fees under 37 CFR 1.16.
- Any additional patent application processing fees under 37 CFR 1.17.

Dated: 9 - 17 - 03

  
David L. Tarnoff  
Reg. No. 32,383

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